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December 3, 2004 Date Mailed	Yuko Tanaka Name	<i>Y. Tanaka</i> Signature	December 3, 2004 Date

Attorney's Docket No. 42P13843D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Morse

Application No. 10/808,787

Filed: March 23, 2004

For: EPITAXIAL GROWTH FOR  
WAVEGUIDE TAPERING

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Examiner: Not Yet Assigned

Art Unit: 1765

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir/Madam:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

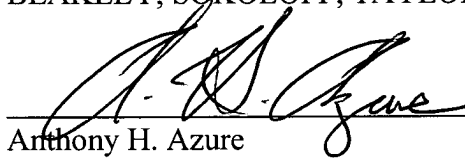
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

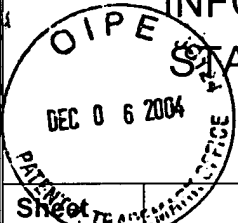
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Dec. 3, 2004

  
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Anthony H. Azure  
Reg. No. 52,580

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(206) 292-8600

Substitute for Form 1449/PTO			<b>Complete if Known</b>	
 <h2 style="margin: 0;">INFORMATION DISCLOSURE</h2> <h3 style="margin: 0;">STATEMENT BY APPLICANT</h3> <p style="margin: 0; font-size: small;">(use as many sheets as necessary)</p>			Application Number	10/808,787
			Filing Date	March 23, 2004
			First Named Inventor:	Morse
			Art Unit	1765
			Examiner Name	Not Yet Assigned
Attorney Docket Number	42P13843D			
Sheet 1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
	1.	US-	4,773,720	09-27-1988	Hammer	
	2.	US-	5,349,602	09-20-1994	Mehuys et al.	
	3.	US-	5,442,723	08-15-1995	Vinchant et al.	
	4.	US-	5,844,929	12-01-1998	Lealman et al.	
	5.	US-	6,108,478	08-22-2000	Harpin et al.	
	6.	US-	6,229,947 B1	05-08-2001	Vawter et al.	
	7.	US-	2002/0131744 A1	09-19-2002	Evans et al.	
	8.	US-	2003/0007766 A1	01-09-2003	Galarza et al.	
	9.	US-	6,522,801 B1	02-18-2003	Aksyuk et al.	
	10.	US-	2003/0068152 A1	04-10-2003	Gunn, III	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.